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**Directory of  
Retirement Education and  
Communication Firms**  
(Revised and Update as of February 2012)



## Directory of Retirement Education and Communication Firms

We regularly get requests for information on firms that provide 401k participant education services and communication products such as newsletters and statement inserts (Education Services). To meet these requests, we have researched firms and put together this directory.

This directory was compiled from information provided by each vendor. The information provided has not been verified. **401khelpcenter.com, LLC does not endorse nor does it recommend any of these providers or services.**

The directory is in alphabetical order. The following information is listed for each firm:

Name of Firm:

Address of Firm:

Web Address of Firm:

Name of Contact Person:

Phone Number of Contact Person:

Email Address of Contact Person:

Is retirement education and communication this firms only business?

What other services do they provide?

*[As a general rule, the text in this section is a verbatim description of services which was provided by the vendor.]*

Description of education and communication services provided by this firm:

*[As a general rule, the text in this section is a verbatim description of services which was provided by the vendor.]*

If your firm offers independent education services and you wish to be included in this directory, contact 401khelpcenter.com at [info@401khelpcenter.com](mailto:info@401khelpcenter.com).

**Directory begins on the next page.**



## Directory of Retirement Education and Communication Firms

Name of Firm: **Ackley Associates**  
Address of Firm: 612 SE Cumberland Drive, Lees Summit, MO 64063  
Web Address of Firm: [www.DennisAckley.com](http://www.DennisAckley.com)

Name of Contact Person: Dennis Ackley  
Phone Number of Contact Person: 816.695.4808  
Email Address of Contact Person: [dennis@dennisackley.com](mailto:dennis@dennisackley.com)

Is retirement education and communication this firm's only business? Yes

Description of education and communication services provided by this firm:

The Retirement Adequacy Incite© program is an entirely new approach. It focuses on the knowledge and motivation younger employees must have to *begin* pursuing an adequate retirement using a 401k or 403b. RAI's strength is getting employees started early, making sizable contributions.

RAI includes:

Competencies – specific knowledge employees must have and will learn (allows plan sponsors to monitor and manage progress).  
Learning Materials – a 60- to-90-minute presentation and Future Lifestyle Worksheet for individuals to estimate confidentially the future price of their retirement.  
Questionnaire – verifies the competencies have been acquired.

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Name of Firm: **Annapolitan Investment Group, Inc.**  
Address of Firm: 801-1 Compass Way, Annapolis, MD 21401  
Web Address of Firm: [www.retirementcounsel.com](http://www.retirementcounsel.com)

Name of Contact Person: Melissa M. Frawley, Vice President, Corporate Services  
Phone Number of Contact Person: 410.571.2747  
Email Address of Contact Person: [mfrawley@retirementcounsel.com](mailto:mfrawley@retirementcounsel.com)

Is retirement education and communication this firms only business? No

What other services do they provide?

"While our corporate services division is dedicated to providing financial education and



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promoting financial awareness to public and private audiences, Annapolitan Investment Group is a registered investment advisor that offers comprehensive financial planning services, specializing in retirement income solutions."

Description of education and communication services provided by this firm:

"We are a provider of financial education. We do not sell your employees anything. Workshop topics include Planning for a Worry-Free Retirement and Income Planning Beyond your Last Paycheck. On-site workshops and interactive webcasts are available. These workshops can be the most valuable investment in time that your employees will make."

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Name of Firm: **Arnerich Massena Education**

Address of Firm: 2045 NE Martin Luther King Jr. Blvd., Portland, OR 97212

Web Address of Firm: [www.arnerichmassenaeducation.com](http://www.arnerichmassenaeducation.com)

Name of Contact Person: Ximena Spicer

Phone Number of Contact Person: 503.239.0475

Email Address of Contact Person: [xspicer@am-a.com](mailto:xspicer@am-a.com)

Is retirement education and communication this firms only business? Yes\*

[\* Editor's Note: Arnerich Massena Education is owned by Arnerich Massena & Associates, Inc., an investment consulting firm.]

Description of education and communication services provided by this firm:

"AME provides participant education services, including enrollment kits and videos, custom campaigns and content, continuing education guides, participant and plan sponsor newsletters, impact statements, hybrid newsletter-statements, and presentation services. Our material is available 'off-the-shelf' or custom-designed. It can be delivered in print, in person or via the Web."

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Name of Firm: **Automated Retirement Plans, Inc.**

Address of Firm: 6225 Brandon Ave., Suite 350, Springfield, VA 22150

Web Address of Firm: [www.a-r-p-i.com](http://www.a-r-p-i.com)

Name of Contact Person: Diane E. Kelley, CPC, QPA

Phone Number of Contact Person: 703.644.2240 x314



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Email Address of Contact Person: [dkelley@a-r-p-i.com](mailto:dkelley@a-r-p-i.com)

Is retirement education and communication this firms only business? No

What other services do they provide?

"ARPI provides consulting, plan document and administrative services for all types of qualified plans and all types of entities. We also serve as Court appointed and DOL appointed Trustees of all types of retirement plans."

Description of education and communication services provided by this firm:

"Financial literacy program geared to all plan participants. Credentialed Pension Consultant will assist in creating realistic estimates of Social Security income, expenses after retirement and savings. Tools are provided so the participant will be able to determine how much to save, how to save, and what investment strategies to use."

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Name of Firm: **Beam Capital Management Educational Services**

Address of Firm: 48 Wall Street, New York, NY 10005

Web Address of Firm: [www.beamcap.com](http://www.beamcap.com)

Name of Contact Person: Mohannad Aama

Phone Number of Contact Person: 646.435.0559

Email Address of Contact Person: [maama@beamcap.com](mailto:maama@beamcap.com)

Is retirement education and communication this firms only business? No

What other services do they provide?

"We are a subsidiary of an independent Registered Investment Advisory firm. Investment advice and education is the only product that we provide. Our parent company is not affiliated with any broker dealer or Mutual Fund company and we do not sell any investment products."

Description of education and communication services provided by this firm:

"We provide custom designed 401k educational group seminars and printed materials based on client-specific 401k plans as well as one-on-one counseling. "



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Name of Firm: **Beasley Financial Group LLC**

Address of Firm: 4815 Coyle Rd #103 Owings Mills, Maryland 21117

Web Address of Firm: [www.beasleyfinancialgroup.com](http://www.beasleyfinancialgroup.com)

Name of Contact Person: Marcus A. Beasley

Phone Number of Contact Person: 877.265.1264 ext 4

Email Address of Contact Person: [mbeasley@beasleyfinancialgroup.com](mailto:mbeasley@beasleyfinancialgroup.com)

Is retirement education and communication your firms only business: No

If no, what other services do you provide?

We provide financial advisory, brokerage and consulting.

Description of education and communication services provided by this firm:

Our financial literacy/wellness education program consist of E Video financial literacy courses. Our courses include: debt management, credit enhancement, budgeting, retirement planning, healthcare literacy, mortgage planning and foreclosure prevention.

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Name of Firm: **Blue Prairie Group, L.L.C.**

Address of Firm: 205 W. Wacker Dr., Suite 600, Chicago, IL 60606

Web Address of Firm: [www.blueprairiegroup.com](http://www.blueprairiegroup.com)

Name of Contact Person: Matthew Gnabasik

Phone Number of Contact Person: 312.645.1899; 866.274.1899 (Toll-free)

Email Address of Contact Person: [info@blueprairiegroup.com](mailto:info@blueprairiegroup.com)

Is retirement education and communication this firms only business? No

What other services do they provide?

"Blue Prairie Group is recognized as one of the nation's premier boutique institutional retirement consulting practices. We currently consult on nearly \$750mm of client assets of which 90 percent are institutional assets. "



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Description of education and communication services provided by this firm:

"Most Americans are woefully under prepared for retirement. Studies show that a significant number of employees are worrying about their personal financial situation while on the job, taking a toll on productivity. Blue Prairie Group offers a range of custom designed financial education programs that help your employees take charge of their financial futures. We achieve measurable results. And, unlike other companies, we have no proprietary product to sell and no behind-the-scene marketing arrangements that can lead to a conflict of interest.

"The goal of our employee financial education is to build around the typical education services provided by your company's 401k service provider and to deliver content that your employees need, in an easy-to-understand format that will ultimately allow them to make better financial decisions.

"We take the time to understand your corporate culture and corporate benefit plans. We survey employees on a regular basis to gauge unmet financial needs and also, to make sure we are providing the right kind of amount of financial education.

"We can provide seminars at the location of your choice and at a time that works for you. We can build seminars based on corporate themes or special concerns and tailor our presentations to particular demographic groups.

"Our content is delivered by financial planners and registered investment advisors. We have no products to sell. Some of the proprietary content modules include:

- a. Debt Management
- b. Retirement Planning
- c. Financial Planning Basics
- d. Planning for College
- e. Investment Basics
- f. Women and Investing
- g. Planning for Recent Retirees

"The demonstrative outcomes you can expect from our programs are increased participation, increased savings rates, savings for college, reduction in debt and establishing a will for your employees."



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Name of Firm: **Bowne**

Address of Firm: 345 Hudson Street New York, NY 10014

Web Address of Firm: [www.bowne.com](http://www.bowne.com)

Name of Contact Person: Bill Crockett

Phone Number of Contact Person: 310.712.2438

Email Address of Contact Person: [bill.crockett@bowne.com](mailto:bill.crockett@bowne.com)

Is retirement education and communication this firms only business? No

What other services do they provide?

"Mutual fund compliance typesetting, Printing and filing with the SEC, Translations (42 languages), commercial printing, digital printing, Print on Demand and fulfillment."

Description of education and communication services provided by this firm:

"Documents on Demand (DOD) from Bowne Enterprise Solutions is a full digital communications solution accessible through an online interface that enables you to assemble customized content and graphics for kits, reports, educational documents and marketing materials. It uses online content libraries and integrates with Print on Demand to deliver tailored, perfect-bound enrollment and welcome booklets to your clients."

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Name of Firm: **Comerica Bank**

Address of Firm: 411 West Lafayette, P.O. Box 75000, Detroit, Michigan 48226

Web Address of Firm: [www.comerica.com](http://www.comerica.com)

Name of Contact Person: Liz Buscher

Phone Number of Contact Person: 313.222.7945

Email Address of Contact Person: [eabuscher@comerica.com](mailto:eabuscher@comerica.com)

Is retirement education and communication your firms only business: No



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What other services do you provide?

Comerica Incorporated is a financial services company headquartered in Dallas, strategically aligned into three major business segments: The Business Bank, The Retail Bank, and Wealth & Institutional Management.

Description of education and communication services provided by this firm:

Comerica's Education Coordinator will work with plan sponsors to develop a detailed Education Policy Statement. We assess the culture of the organization and define a thorough education plan giving employees personal responsibility to make well informed decisions.

Education Policy Statement includes:

- Goals of education & communication program
- Policies for selecting appropriate employee education options
- Guidelines for monitoring performance

Programs targeted to specific employee groups:

- Customized to address issues of concern
- Aligned with their life stages, lifestyles and events

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Name of Firm: **CP Financial Services**

Address of Firm: 214 East Galer #210, Seattle, WA 98102

Web Address of Firm: N/A

Name of Contact Person: David Gleason

Phone Number of Contact Person: 206.650.4015

Email Address of Contact Person: dgleason@clrpntfs.com

Is retirement education and communication this firms only business? No

What other services do they provide?

"We also sell 401k plans as well as educate and communicate to them. We do however sometimes just get hired to do consulting work in that area."

Description of education and communication services provided by this firm:

"We have a five prong approach: Face-to-face group meetings, WebEx internet meetings,



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email coaching groups, one-on-one meetings and telephone support."

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Name of firm: **EDSA Group, Inc., The**

Address of Firm: 8280 YMCA Plaza Drive, #4, Baton Rouge, LA 70810

Web Address of Firm: [www.theedsagroup.com](http://www.theedsagroup.com)

Name of Contact Person: Daryl Thompson or Susan Windham

Phone Number of Contact Person: 800.942.2777

Email Address of Contact Person: [dthompson@theedsagroup.com](mailto:dthompson@theedsagroup.com) or  
[info@theedsagroup.com](mailto:info@theedsagroup.com)

Is retirement education and communication this firms only business? Yes

Description of education and communication services provided by this firm:

"The EDSA Group has been providing proven financial education solutions nationwide for over 10 years. As one of the oldest providers of workplace financial education, EDSA's curricula, tools, and materials were developed by experts in the field of adult learning and financial planning, and have been enhanced and refined to encourage a positive outcome. The EDSA Group is not affiliated with financial products of any kind.

"The EDSA Group provides a variety of educational topics and tools to meet the needs of a diverse employee population."

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Name of Firm: **Edward Jones**

Address of Firm: 12555 Manchester Road, St. Louis, MO 63131

Web Address of Firm: [www.edwardjones.com/education](http://www.edwardjones.com/education)

Name of Contact Person: Greg F. Auman, CFP

Phone Number of Contact Person: 314.515.3531 or 800.441.1384

Email Address of Contact Person: [greg.auman@edwardjones.com](mailto:greg.auman@edwardjones.com)

Is retirement education and communication this firms only business? No.

What other services do they provide?

"IRAs, retirement plans, financial services for estate planning and trust needs, stocks, fixed-income investments, mutual funds, annuities, education savings plans, portfolio reviews,



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credit cards, mortgages, home equity loans, and lines of credit"

[Editor's Note: Edward Jones is a large national brokerage firm headquartered in St. Louis, MO]

Description of education and communication services provided by this firm:

"We provide free investment education to employees through seminars given by our 9000+ offices throughout the US and Canada. We work with HR Benefits Managers to plan sessions that can be tailored to their employees' needs. We increase awareness of employee savings options, investing basics, retirement planning, and estate planning."

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Name of Firm: **Ernst & Young LLP**

Address of Firm: 5 Times Square, New York, NY 10036

Web Address of Firm: [www.ey.com/us/humancapital](http://www.ey.com/us/humancapital)

Name of Contact Person: William J. Arnone

Phone Number of Contact Person: 212.773.3285

Email Address of Contact Person: [william.arnone@ey.com](mailto:william.arnone@ey.com)

Is retirement education and communication this firms only business? No

What other services do they provide?

"Assurance and advisory business services, human capital services, tax services, and transaction advisory services."

Description of education and communication services provided by this firm:

"Group workshops; telephone access to financial planners through the EY Financial Planner Line; Web-based retirement planning and investment advice through eAdvisorPlus; Survivor Financial Counseling services; print and CD materials on all aspects of financial planning; program design, communication and project management. All services are dedicated to the mission of Ernst & Young's Employee Financial Services practice: 'To help employees develop skills to make informed decisions and take action to improve their financial well-being.' All services are provided on a fee-only basis, as Ernst & Young does not sell or market financial products."



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Name of Firm: **Employee Finance Education**

Address of Firm: 10312 McKnight, Albuquerque, NM 87112

Web Address of Firm: [www.employee-finance.com](http://www.employee-finance.com)

Name of Contact Person: Dave Mulryan

Phone Number of Contact Person: 505.980.5120

Email Address of Contact Person: [dmulryan@employee-finance.com](mailto:dmulryan@employee-finance.com)

Is retirement education and communication this firms only business? Yes

Description of education and communication services provided by this firm:

"Employee Finance Education provides education about retirement plans, defined benefit plans, and 401k plans. We concentrate on educating employees so they understand their choices. We have no affiliations with mutual fund or banking concerns--our sole mission is education."

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Name of Firm: **EPIC Retirement Services Consulting, LLC**

Address of Firm: 245 Fifth Avenue, Suite 602, NY, NY, 10016

Web Address of Firm: [www.epicretirellc.com](http://www.epicretirellc.com)

Name of Contact Person: Eva Kalivas or Jeff Greenberg

Phone Number of Contact: 888.558.3742

E-Mail of Contact: [ekalivas@epicretirellc.com](mailto:ekalivas@epicretirellc.com) or [jgreenberg@epicretirellc.com](mailto:jgreenberg@epicretirellc.com)

Is retirement education and communication this firms only business? No

What other services do they provide?

Fiduciary protection services, investment policy statement review and drafting, independent performance monitoring for DB and DC plans, and "mutual fund scandal" compliance.

Description of education and communication services provided by this firm:

"The primary objective of our communications campaign is to maximize employee awareness, satisfaction, understanding and participation. We are completely independent and can provide education on any fund family and investment vehicle, along with communication to supplement any provider platform. We provide individual participant advice, pre-enrollment and post-enrollment support, distribution counseling, independent



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model portfolio construction and a participant '800-number' advice line. EPIC views employee education as a continual, on-going process."

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Name of Firm: **Evergreen Capital Management Inc.**

Address of Firm: 707 SW Washington Street, Suite 1415, Portland, OR 97205

Web Address of Firm: [www.evergreencap.com](http://www.evergreencap.com)

Name of Contact Person: Russ McAlmond

Phone number of Contact Person: 503.223.8880 or 877.220.8880

Email Address of Contact Person: [evergreencapital@msn.com](mailto:evergreencapital@msn.com)

Is retirement education and communication this firms only business? No

What other services do they provide?

"We provide investment management consulting for qualified plans as well as individual financial planning."

Description of education and communication services provided by this firm:

"Our unique approach to retirement education for your employees is to provide a speaker who is a licensed Certified Financial Planner (CFP) and Certified Retirement Counselor (CRC) to give an easy to understand explanation of 401k plans and their benefits. We will also customize the presentation to include the retirement investment options that are unique to your firm and help your employees understand the differences between these options. Our state-of-the-art presentation and first class handouts will help your employees understand the benefits of participating in your retirement plans for them and their families."

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Name of Firm: **Financial Finesse, Inc.**

Address of Firm: Manhattan Plaza, 111 N. Sepulveda Blvd, Suite 305, Manhattan Beach, CA 90266

Web Address of Firm: [www.financialfinesse.com](http://www.financialfinesse.com)

Name of Contact Person: Carla Tejuco

Phone Number of Contact Person: 866.733.2677 x352

Email Address of Contact Person: [info@financialfinesse.com](mailto:info@financialfinesse.com)

Is retirement education and communication this firms only business? No



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What other services do they provide?

"Unbiased financial education and counseling programs covering all personal financial topics from getting out of debt to retirement and estate planning."

Description of education and communication services provided by this firm:

"We work with Plan Sponsors and Plan Advisors to achieve HR goals like increase plan participation, reduce fiduciary liability and retain and recruit employees. Our award winning unbiased financial education and counseling programs are online, via the phone and in person. We don't sell any financial products or manage assets."

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Name of Firm: **Financial Topics 101**

Address of Firm: Nashport, Ohio

Web Address of Firm: [www.financialtopics101.com](http://www.financialtopics101.com)

Name of Contact Person: Seth Conley

Phone Number of Contact Person: 740.262.3479

Email Address of Contact Person: [sethconley@financialtopics101.com](mailto:sethconley@financialtopics101.com)

Is retirement education and communication this firms only business? Yes

Description of education and communication services provided by this firm:

We provide independent financial education via web access to thousands of employees. Once employers subscribe to our service, employees can attend as many of the specialized trainings as they wish. We specialize in live, web based, education.

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Name of firm: **Fleischer Jacobs Group**

Address of firm: 620 Hinesburg Road South Burlington, VT 05407-2343

Web Address of Firm: [www.FJGfinancial.com](http://www.FJGfinancial.com)

Name of Contact Person: Pat Thornton

Phone Number of Contact Person: 802.865.5000

E-Mail Address of Contact Person: [pthornton@FJGfinancial.com](mailto:pthornton@FJGfinancial.com)

Is retirement education and communication this firms only business? No



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What other services do they provide?

Investment policy statement development and plan design; consulting; help develop and coordinate a customized communication and education program; due diligence and performance review; ongoing coordination, education, communication, and support between broker, financial institution, and TPA (Third Party Administrator); and annual performance reviews.

Description of education and communication services provided by this firm:

"Our Philosophy is to: Avoid jargon, keep materials consumer-friendly and keep it fun. We strongly believe in a 'basic educational model'.

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Name of Firm: **Focus Financial Network, Inc.**

Address of Firm: 3800 American Blvd. W. Suite 1140, Bloomington, MN 55431

Web Address of Firm: [www.focusfinancial.com](http://www.focusfinancial.com)

Name of Contact Person: Terry Jacobson

Phone Number of Contact Person: 952.896.3894

Email Address of Contact Person: [tjacobson@focusfinancial.com](mailto:tjacobson@focusfinancial.com)

Is retirement education and communication this firm's only business? No.

What other services do you provide?

"Focus Financial provides work-site financial education programs independent of our financial planning, retirement plan services and customized portfolio management services."

Description of education and communication services provided by this firm:

"Comprehensive employee benefit and financial education work-site seminars aimed at motivating your employees to increase participation in employer-sponsored programs and general savings. An objective perspective (not tied to the employer sponsored plan) that can provide financial planning advice. Seminar topics include but are not limited to: retirement planning, general financial planning, executive level planning and pre-retiree programs."



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Name of Firm: **ICC Plan Solutions, LLC**

Address of Firm: 11525 Carmel Commons Blvd., Suite 103, Charlotte, NC 28226

Web Address of Firm: [www.iccplansolutions.com](http://www.iccplansolutions.com)

Name of Contact Person: Doug Hall

Phone Number of Contact Person: 704.887.4040

Email Address of Contact Person: [doug.hall@iccplansolutions.com](mailto:doug.hall@iccplansolutions.com)

Is retirement education and communication this firms only business? Yes

Description of education and communication services provided by this firm:

"Our core education platform, Essential Investment Strategies, is a step-by-step customized and interactive multi-media program that combines a video program available on VHS video, DVD Rom, or web delivery along with a manual, numerous web site financial tools and on-going, one-on-one support via e-mail. ICC Plan Solutions also offers low cost, customizable enrollment kits, participant newsletters, participant payroll stuffers, and retirement plan break room posters."

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Name of Firm: **InSight Employee Benefit Communications**

Address of Firm: 4643 South Ulster Street, Suite 800 Denver, CO 80237

Web Address of Firm: <http://www.insightebc.com>

Name of Contact Person: Rick Rodgers

Phone Number of Contact Person: 720.228.4157

Email Address of Contact Person: [RickR@insightebc.com](mailto:RickR@insightebc.com)

Is retirement education and communication your firms only business: Yes

Description of education and communication services provided by this firm:

We provide customized programs to help employees understand and properly use their retirement and other benefit plans. We don't sell any investment or service providers' products. We provide objective education, marketing and counseling services that convey complex information in easy-to-understand terms, and inspire plan participants to make informed decisions.



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Name of Firm: **Kmotion, Inc.**

Address of Firm: 8860 SW Old Tualatin Sherwood Road, Tualatin, OR 97062

Web Address of Firm: [www.kmotion.com](http://www.kmotion.com)

Name of Contact Person: Stacy Henderson

Phone Number of Contact Person: 877.306.5055

Email Address of Contact Person: [Stacy@kmotion.com](mailto:Stacy@kmotion.com)

Is retirement education and communication this firms only business? Yes

Description of education and communication services provided by this firm:

"We provide enrollment kits with print on demand plan specifics and fund profile information. We offer a 12 page enrollment guide, asset allocation guide, calculator, VRU brochure etc. These materials are also available in Spanish. Videos, custom materials, posters, payroll stuffers, plan participant and plan sponsor newsletters are also available."

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Name of Firm: **Lawrence & Pearson Associates**

Address of Firm: 1576 State Street, Schenectady, NY 12304-1528

Web Address of Firm: [www.lawrence-pearson.com](http://www.lawrence-pearson.com)

Name of Contact Person: Philip Glackin, CFP, ChFC

Phone Number of Contact Person: 518.374.5726

Email Address of Contact Person: [pjg@lawrence-pearson.com](mailto:pjg@lawrence-pearson.com)

Is retirement education and communication your firms only business: No

What other services do you provide?

"Lawrence & Pearson Associates is an independent consultant and 401(k) third-party administrator (TPA) providing a variety of services to help businesses, professional and medical practices and not-for-profit organizations better manage their 401(k) and other retirement accounts. We do not sell financial products and receives no commissions on the funds invested in retirement accounts. We offer unbiased plan design, installation and administration services on a fee-for-service basis."

Description of education and communication services provided by this firm:



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"Lawrence & Pearson Associates provide financial seminars that generally consist of a 45 minute presentation with a 15 minute question and answer session, but can be tailored to the organization's needs and work schedule. Seminars include a wide range of financial topics and are available to organizations that feel their employees would benefit from an on-site financial workshop."

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Name of Firm: **Life Certain Wealth Strategies, LLC**

Address of Firm: 8400 E Prentice Ave # 715, Greenwood Village, CO 80111

Web Address of Firm: [www.lifecertain.com](http://www.lifecertain.com)

Name of Contact Person: Herb White, CFP

Phone Number of Contact Person: 303.793.3999

Email Address of Contact Person: [hwhite@lifecertain.com](mailto:hwhite@lifecertain.com)

Is retirement education and communication our firms only business? No

What other services do they provide?

"In addition to retirement education and communication, our firm is engaged in individual financial planning and advisory services. Our education services are not provided as part of a bundled 401k product. We have conducted and continue to conduct many seminars and educational workshops and events to 401(k) participants at firms of all sizes in the Denver metro area and throughout Colorado."

Description of education and communication services provided by this firm:

"We provide a broad range of education and guidance programs covering topics such as retirement planning, inflation, understanding social security, estate planning, equity investments, college funding, insurance, etc... Our workshops are strictly educational and informational, we have no agenda to sell products. During the workshops we make available a data form for those who would like to receive an overview of their personal financial situation. The participant expresses their interest in one of three ways:

- They fill out the data form
- They request a personal consultation on the evaluation form.
- They ask the instructor for a personal consultation.

"Our workshops and seminars are generic in nature and make no mention of specific products, or companies, etc.. The seminars are designed strictly to educate participants not



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to sell them. We sometimes bring people from the Social Security Administration to field questions, and an Estate Planning Attorney will often be present to field questions."

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Name of Firm: **McKinley Investment Group**  
Address of Firm: 2000 Main Street, Wheeling, WV 26003  
Web Address of Firm: [www.migadvisors.com](http://www.migadvisors.com)

Name of Contact Person: David H. McKinley  
Phone Number of Contact Person: 304.230.2400 or 866.306.2400  
Email Address of Contact Person: [workplaceeducation@migadvisors.com](mailto:workplaceeducation@migadvisors.com)

Is retirement education and communication this firms only business? No

What other services do they provide?

"McKinley Investment Group is a fee-only financial planning and investment advisory firm registered with the Securities and Exchange Commission."

Description of education and communication services provided by this firm:

"McKinley Investment Group will assess the workforce's needs, help establish measurable goals, and design an appropriate program. Programs can include on-site presentations to management and employee groups on the principles of retirement asset accumulation, management, and distribution planning. One-on-one meetings and follow-up telephone consultations can be offered to program participants."

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Name of Firm: **Newkirk Products, Inc.**  
Address of Firm: 15 Corporate Circle, Albany, NY 12203  
Web Address of Firm: [www.newkirk.com](http://www.newkirk.com)

Name of Contact Person: James Lawler  
Phone Number of Contact Person: 518.862.3221  
Email Address of Contact Person: [jlawler@newkirk.com](mailto:jlawler@newkirk.com)

Is retirement education and communication this firms only business? No

What other services do they provide?



## Directory of Retirement Education and Communication Firms

"Newkirk provides pre and post enrollment, and member retention communications for managed care organizations, and tax and financial communications for Financial Institutions, accountants, CPAs, CFPs, and other professional services firms."

Description of education and communication services provided by this firm:

"Newkirk, a leading communications provider for major financial institutions and Third Party Administrators (TPAs), introduces Participant Investor Services - online systems and calculators (GuidancePlus!™, AdvicePlus!, Online Calculators, and Newkirk Fund Central) that make it easy to educate and motivate your plan participants."

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Name of Firm: **PENSCO Trust Company**

Address of Firm: 450 Sansome Street, 14th Floor, San Francisco, CA 94111

Web Address of Firm: [www.PENSCOTrust.com](http://www.PENSCOTrust.com)

Name of Contact Person: Tom Anderson

Phone Number of Contact Person: 415.274.5600

Email Address of Contact Person: [tom.anderson@pensco.com](mailto:tom.anderson@pensco.com)

Is retirement education and communication this firms only business? No

What other services do they provide?

"Since 1989, PENSCO Trust has focused exclusively on helping individuals meet their financial objectives through self-directed IRA investments. PENSCO Trust provides premier service in the custody and administration of IRAs and retirement accounts invested in non-traded assets, such as real estate and private placements. The PENSCO Trust commitment is to provide clients maximum flexibility in the selection of their investments, the utmost confidence in our stewardship, and premier client service every step of the way."

Description of education and communication services provided by this firm:

"PENSCO Trust Company is the leading provider of advanced education for self-directed IRAs and retirement accounts. We believe in helping clients maximize their retirement assets by understanding the opportunities in non-tradeable assets like real estate and private equity. PENSCO Trust provides in-depth information and education on the company's web site, [www.penscotrust.com](http://www.penscotrust.com), and hosts a series of free symposiums for professionals--attorneys, real estate professionals, CPAs and Financial Advisors--who want to learn more and help their clients."



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Name of Firm: **PFE Group, The**

Address of Firm: 144 Turnpike Road, Suite 360, Southborough, MA 01772

Web Address of Firm: [www.pfegroup.com](http://www.pfegroup.com)

Name of Contact Person: Wayne Bogosian

Phone Number of Contact Person: 508.683.1400

Email Address of Contact Person: [wayne\\_bogosian@pfegroup.com](mailto:wayne_bogosian@pfegroup.com)

Is retirement education and communication this firms only business? No

What other services do they provide?

Investment advisory services to qualified retirement plan sponsors, defined contribution and defined benefit vendor search services and customized benefit communications.

Description of education and communication services provided by this firm:

"PFE's ability to motivate employees to *take action* is largely attributable to how we focus our workshops. Not only do we convey the importance of saving, but our presenters also focus on *where* to find the money to save. We do not sell investments or manage assets. As an independent firm, we're able to create the desired behavioral change among employees without selling financial products or violating the prohibited transaction rules detailed in Section 406(b) of ERISA (for providing investment advice).

"Our objective is to educate employees and give them the tools to make their own financial planning decisions with confidence."

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Name of Firm: **Priority**

Address of Firm: 6700 France Ave. S., Suite 300, Minneapolis, MN 55435

Web Address of Firm: [www.priorityresults.com](http://www.priorityresults.com)

Name of Contact Person: Kathryn Hammond

Phone Number of Contact Person: 800.727.6397 x239

Email Address of Contact Person: [khammond@priorityresults.com](mailto:khammond@priorityresults.com)

Is retirement education and communication this firm's only business? No.



## Directory of Retirement Education and Communication Firms

What other services do they provide?

"Publishing, marketing and interactive products and services for a broad range of clients within the financial, healthcare and benefits industries."

Description of education and communication services provided by this firm:

"Priority is a national leader in custom financial, healthcare and benefits communications, providing publishing, interactive and marketing solutions for a fully integrated experience. Services include custom magazines, collateral, annual reports, Web development, SEO, banner ads, e-mail marketing, SWOT analysis, marketing plans, brand development, surveys, analytics and MediaTrackR™ real-time ROI tracking. Custom writing is provided by our in-house team of Series 6-trained editors. Translation services are available."

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Name of Firm: **Retirement Educators, Inc.**

Address of Firm: 102 Union Street, Norfolk, MA 02056

Web Address of Firm: [www.retirementeducators.com](http://www.retirementeducators.com)

Name of Contact Person: Robert Kelley

Phone Number of Contact Person: 617.510.0511

Email Address of Contact Person: [rkelly@retirementeducators.com](mailto:rkelly@retirementeducators.com)

Is retirement education and communication this firms only business? Yes

Description of education and communication services provided by this firm:

"Retirement Educators provides educational and enrollment support for the retirement plan industry. We mainly work with retirement plan providers but we assist plan sponsors as well. All presenters are licensed with our Broker-Dealer but sell no securities, avoiding any conflicts of interest. We specialize in Spanish presentations. Presenters nationwide."

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Name of Firm: **Retirement Planning Consultants**

Address of Firm: 313 Blackstone Avenue, Ithaca, NY 14850

Web Address of Firm: [www.retirementplanningconsultants.com](http://www.retirementplanningconsultants.com)

Name of Contact Person: Robert R. Julian

Phone Number of Contact Person: 607.255.4405

Email Address of Contact Person: [rrj1@cornell.edu](mailto:rrj1@cornell.edu)



## Directory of Retirement Education and Communication Firms

Is retirement education and communication this firms only business? Yes

Description of education and communication services provided by this firm:

According to the firm, they provide newsletters, posters, mailings, e-mails, web-based material, workshops and other products specifically produced to help employers in their efforts to communicate this information - education to plan participants. "We provide candid, straight-forward, unbiased, easy to understand information."

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Name of Firm: **The Scarborough Group Inc.**

Address of Firm: 441 Defense Highway, Annapolis, MD 21401

Web Address of Firm: [www.401kadvice.com](http://www.401kadvice.com)

Name of Contact Person: John Blamphin

Phone Number of Contact Person: 800.835.4015

Email Address of Contact Person: [jblamphin@401kadvice.com](mailto:jblamphin@401kadvice.com)

Is retirement education and communication this firms only business? No

What other services do they provide?

"In addition to our education programs, The Scarborough Group Inc. provides retirement plan sponsors with investment advisory services to help them fulfill their investment selection requirements under ERISA. For plan participants we offer personal financial counseling and managed accounts."

Description of education and communication services provided by the firm:

"The Scarborough Group Inc. offers live, interactive educational seminars aimed at motivating employees to actively participate in their company-sponsored retirement plans; to make prudent decisions with their investments; and to contribute an amount that will help them reach their retirement goals."

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Name of Firm: **StandSure Wealth Management**

Address of Firm: 3655 Nobel Dr. Suite 490, San Diego, CA 92122

Web Address of Firm: [www.standsurewm.com](http://www.standsurewm.com)



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Name of Contact Person: Todd Anderson  
Phone Number of Contact Person: 858.200.9620 x102  
Email Address of Contact Person: todd.anderson@standsurewm.com

Is retirement education and communication this firms only business? No

What other services do they provide?

"StandSure is a Registered Investment Advisor (RIA) offering fee based financial planning for individuals and families."

Description of education and communication services provided by the firm:

"We believe that an educated employee is a better participant. Our educational services are focused on maximizing employee awareness, satisfaction, understanding and participation. We are completely independent and have a responsibility to provide quality advice and education on any fund family, or investment vehicle. As an RIA we are uniquely positioned to provide communication to supplement any provider platform. We also provide individual participant advice, pre and post enrollment support, individual portfolio modeling, web-access accounts."

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Name of Firm: **Torrid Technologies Inc.**  
Address of Firm: 1860 Sandy Plains Rd., Suite 204-129, Marietta, GA, 30066  
Web Address of Firm: www.torrid-tech.com

Name of Contact Person: Tim Turner  
Phone Number of Contact Person: 770.579.6224  
Email Address of Contact Person: tturner@torrid-tech.com

Is retirement education and communication this firms only business? No

What other services do they provide?

"We create custom financial planning illustrations for numerous areas including retirement, college savings, distributions, annuities, life insurance, Long Term Care, and many other financial products."

Description of education and communication services provided by the firm:



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"We provide 'do-it-yourself' retirement education software that is used by participants and has been proven to increase contribution rates as well as boost participation. We also provide software that is used at enrollment meetings for retirement education by benefits providers and financial professionals. Finally we also create custom plan-specific retirement illustrations that can be used by participants for 'do-it-yourself' retirement projections. Our software is available for the PC or via the Web."

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Name of Firm: **Total Benefit Communications, Inc.**

Address of Firm: 1117 Perimeter Center West, Suite W-212, Atlanta, GA 30338

Web Address of Firm: [www.benefitprojects.com](http://www.benefitprojects.com)

Name of Contact Person: Kimberly Sexton, Director

Phone Number of Contact Person: 678.579.9600

Email Address of Contact Person: [kimberly@benefitprojects.com](mailto:kimberly@benefitprojects.com)

Is retirement education and communication this firm's only business? No

What other services do they provide?

"We provide education and communication for health/welfare and voluntary benefit plans, human resources, investments and financial topics. We also staff benefit fairs, teleconferences and webcasts. In addition to conducting meetings in numerous languages we provide translation of employee benefit documents and related materials."

Description of education and communication services provided by this firm:

"Total Benefit Communications, Inc. works with product or service providers such as third party administrators, banks, consulting firms, insurers, and money managers conducting employee benefit meetings and seminars. We also offer staffing assistance for benefit fairs, webcasts and teleconferences.

"Our multilingual services include conducting meetings in numerous languages and translation of employee benefit documents and related materials which contain highly technical and detailed information.

"Our staff are benefit professionals who deliver information provided to them for 401k, Health and Welfare, and Voluntary benefit enrollment meetings. They do not give any advice, make recommendations or sell any product."

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401khelpcenter.com, LLC is a principal provider of information, opinion, analysis, news, rules, and other 401k resources for plan sponsors, small businesses, and plan participants. For more information, visit the company's web site at [www.401khelpcenter.com](http://www.401khelpcenter.com).