



401khelpcenter.com, LLC
7032 SW 26th Avenue
Portland, OR, 97219
Phone: 503.705.9548
info@401khelpcenter.com
401khelpcenter.com

**Directory of
401(k) Retirement Plan Providers for
Sole Proprietorships and Small Businesses**

(Revised and Update January 2017)



Directory of 401(k) Retirement Plan Providers for Sole Proprietorships and Small Businesses

The following firms have indicated that they can provide 401(k) plans for sole proprietorships and other qualified businesses. These firms also can help small businesses with retirement plan issues.

This list was compiled from information provided by each vendor and was updated in December 2016. The information provided has not been confirmed. **401khelpcenter.com does not endorse or recommend any provider, service or product listed here. Always obtain professional legal and tax help when setting up your plan.**

Firm Name	Contact Person	Contact's Phone Number	Contact's Email Address	Firm's Website
1401k Retirement Partners	Eric Maher	n/a	ericcmaher@gmail.com	1401k.com
401kAdministrators.com	Craig Gillooly	800.474.3826	info@401kadministrators.com	401kadministrators.com
Academy Advisors	Chuck Smith	866.545.8390	chuck@academyadvisors.com	academyadvisors.com
ADP Retirement Services	n/a	800.432.4015, option 1	n/a	adp401k.com
Alliance Pension Consultants	Jeffrey Feld	847.291.9440 x101	jfeld@alliancepension.com	alliancepension.com
American IRA	Sean McKay	866.750.0472	sean@americanira.com	americanira.com
American Wealth	Quincy Faison	855.411.4015 x8000	qfaison@american-wealth.com	american-wealth.com/business
Ameriprise Financial	Charles S. Pirnia	808.800.4146	charles.pirnia@ampf.com	ameriprise.com
Ameritas Retirement Plans	n/a	800.825.4546	rpservice@ameritas.com	ameritas.com
Atlas Wealth Management	Ronald Lang	888.403.9400	ron.lang@atlasbuildswealth.com	atlasbuildswealth.com
Belanger and Co.	Ken Belanger	610.239.9750	ken@belangerandcompany.com	belangerandcompany.com

Copyright 2017 by 401khelpcenter.com, LLC - Page 2

401khelpcenter.com does not endorse or recommend any provider, service or product listed here.

THIS DIRECTORY IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY AND DOES NOT CONSTITUTE INVESTMENT, TAX, ACCOUNTING OR LEGAL ADVICE.



Directory of 401(k) Retirement Plan Providers for Sole Proprietorships and Small Businesses

Firm Name	Contact Person	Contact's Phone Number	Contact's Email Address	Firm's Website
Beltz Ianni & Associates	Fred Beltz	585.340.5204	fred.beltz@beltz-ianni.com	beltz-ianni.com
Benefit Plans Plus	Pat Shelton	314.824.5252	pshelton@bpp401k.com	bpp401k.com
Broad Financial	Daniel Gleich	800.395.5200	dgleich@broadfinancial.com	broadfinancial.com
Carter Investment Services	Michael Carter	702.528.0366	mike@carterinvestmentservices.com	carterinvestmentservices.com
CBC Retirement Partners	Peter Hibbard	877.535.0560	phibbard@columbiabenefits.com	columbiabenefits.com
Clark Financial Group	Chuck Clark	913.693.4592	cclark@clarkfinancial.com	clarkfinancial.com
Clearpoint Advisors	Thomas Dunn	956.372.1070	thomas.dunn@lpl.com	clearpointadvisors.com
Cross Financial Services	Dan Clark	210.821.6499	smart@crossgroup.com	crossgroup.com
Discount Solo 401k	Justin Windham	800.716.1970	info@discountsolo401k.com	discountsolo401k.com
Eagle Hill Wealth Management	Peter Cacioppo	925.360.5570 (California only)	eaglehill@eaglehilladvisor.com	eaglehilladvisor.com
Etzler Financial Advisors	Matt Etzler	530.527.6761	matt@etzlerfinancial.com	etzlerfinancial.com
ExpertPlan	Kevin Mundy	609.918.2556	kmundy@expertplan.com	expertplan.com
Factor Admin LLC	Brandon Echeverria	602.432.2208	brandone@factoradmin.com	factoradmin.com
Fidelity Investments	n/a	800.544.5373	n/a	401k.com

Copyright 2017 by 401khelpcenter.com, LLC - Page 3

401khelpcenter.com does not endorse or recommend any provider, service or product listed here.

THIS DIRECTORY IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY AND DOES NOT CONSTITUTE INVESTMENT, TAX, ACCOUNTING OR LEGAL ADVICE.



Directory of 401(k) Retirement Plan Providers for Sole Proprietorships and Small Businesses

Firm Name	Contact Person	Contact's Phone Number	Contact's Email Address	Firm's Website
Fifth Third Institutional Services	Sheri Kehren	800.820.9173	sheri.kehren@53.com	53.com
Flexible Benefits Program of Florida	Mark Shepler	561.242.3632	fbpfl@bellsouth.net	n/a
Future Benefits of America	Tony Michael	901.843.7799	tony@fb401k.com	fb401k.com
GRAnderson Wealth Management	Gregory Anderson	303.388.7708	info@grandersonwealth.com	grandersonwealth.com
ING Financial Partners	Lee Kaplowitz	818.264.4545	lee.kaplowitz@ingfp.com	ingfinancialpartners.com
Invesco	n/a	800.241.9799	retirement.ma@invescoaim.com	invesco.com
Investors Capital	Richard Evans	724.745.4985	revans@investorscapital.com	investorscapital.com
Iron Administration	Dick Friedman	847.501.3511	rpf@ironfinancial.com	ironfinancial.com
Jacobs Financial Partners	John Jacobs or Laurel Hardy	860.657.8757	john@jacobsfinancialpartners.com laurel@jacobsfinancialpartners.com	jacobsfinancialpartners.com
July Business Services	Blake Willis	254.296.4015	bwillis@julyservices.com	julyservices.com
L.R. Johnson & Associates	Ronco Johnson	770.321.1337	ronco@lrj-associates.com	lrj-associates.com
Market Capital Management	John H. Heil	866.931.1396	john@marketcapitalmanagement.com	marketcapitalmanagement.com
Means & Associates	Lisa Meyer	619.696.7284	lmeyer@meansllc.com	meansllc.com

Copyright 2017 by 401khelpcenter.com, LLC - Page 4

401khelpcenter.com does not endorse or recommend any provider, service or product listed here.

THIS DIRECTORY IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY AND DOES NOT CONSTITUTE INVESTMENT, TAX, ACCOUNTING OR LEGAL ADVICE.



Directory of 401(k) Retirement Plan Providers for Sole Proprietorships and Small Businesses

Firm Name	Contact Person	Contact's Phone Number	Contact's Email Address	Firm's Website
Michelle Ogden & Company	Michelle Ogden	407.509.4381	mo@michelleogden.com	michelleogden.com
Midwest Benefit Consultants	Steven Schoenbrun	847.821.2200 x12	sschoenbrun@mbcltd.net	n/a
Milberg Consulting	Barry Milberg	215.793.4300	bmilberg@erisaexpertise.com	minikplan.com
Mills Financial Management	Kirk M. Mills	425.454.1514	kirk.mills@kmsfinancial.com	n/a
Millennium Trust	n/a	800.258.7878	info@mtrustcompany.com	mtrustcompany.com
Moran Knobel	Kathy Johnston	425.451.9389	kathyj@moranknobel.com	moranknobel.com
Morgan Stanley Wealth Management	Russell Petrin	303.925.9607	russ.petrin@ms.com	morganstanleyfa.com/petrin/
Mt. Hood Pension Service	Doug Monahan	503.597.7334	doug@mthoodpension.com	mthoodpension.com
National Employee Benefits Services	Nora Bethman	847.808.0940	info@nebspensions.com	nebspensions.com
New York Life	Danielle Dupree	703.610.4084	dddupree@ft.newyorklife.com	newyorklife.com
O'Neill Employee Benefits	Jason Kell	215.657.7400 x113	j.kell@oneillcc.com	oneilleb.com
Ohio National Financial Services	n/a	877.999.6637	rpssales&marketing@ohionational.com	ohionational.com
Olson & Hatcher Financial Advisors	Doug Hatcher	602.274.2751	doug@olsonhatcher.com	olsonhatcher.com

Copyright 2017 by 401khelpcenter.com, LLC - Page 5

401khelpcenter.com does not endorse or recommend any provider, service or product listed here.

THIS DIRECTORY IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY AND DOES NOT CONSTITUTE INVESTMENT, TAX, ACCOUNTING OR LEGAL ADVICE.



Directory of 401(k) Retirement Plan Providers for Sole Proprietorships and Small Businesses

Firm Name	Contact Person	Contact's Phone Number	Contact's Email Address	Firm's Website
OppenheimerFunds	n/a	800.835.7305	n/a	oppenheimerfunds.com
Pacific Life	n/a	800.722.2333 x3939	401k@pacificlife.com	pacificlife.com
PAi	n/a	855.843.5724	sales@pai.com	pai.com
PASI, LLC	Ron Fishman	860.284.6880	rfishman@pasiusa.com	pasiusa.com
PENSCO Trust Company	n/a	866.818.4472	takecontrol@pensco.com	penscotrust.com
Pension Administration and Trust Accounting	Howe Woolfolk	978.356.9004	info@401kpensions.com	401kpensions.com
Phase Four Financial Solutions	Jeff Boyd	619.261.7600	jeff@phase4fs.com	phase4fs.com
Pioneer Funds	n/a	800.225.6292	n/a	us.pioneerinvestments.com
Principal Financial Group	Christina Vald	800.986.3343	vald.christina@principal.com	principal.com
Principal Trust Company	n/a	800.332.4015	contactus@principaltrust.com	principaltrust.com
Professional Plan Administrators	Victoria Molina Buckels	714.997.7133 x18	vbuckels@ppadmin.com	ppadmin.com
Raymond James	Jason Claycomb	270.393.0008	jason.claycomb@raymondjames.com	raymondjames.com/bowlinggreen/
Resource Benefits Administrators	Michelle Parks	800.528.2343	mparks@resourceadmin.com	resourceadmin.com

Copyright 2017 by 401khelpcenter.com, LLC - Page 6

401khelpcenter.com does not endorse or recommend any provider, service or product listed here.

THIS DIRECTORY IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY AND DOES NOT CONSTITUTE INVESTMENT, TAX, ACCOUNTING OR LEGAL ADVICE.



Directory of 401(k) Retirement Plan Providers for Sole Proprietorships and Small Businesses

Firm Name	Contact Person	Contact's Phone Number	Contact's Email Address	Firm's Website
Retire Smart Consulting	Shikha Mittra	609.955.3456	info@retiresmartconsulting.com	retiresmartconsulting.com
Retirement Advantage	Matt Schoneman	888.872.2364	sales@tra401k.com	trasolok.com
RetirementPlans.com	Bob Wirth	972.702.0702	inquiry@retirementplans.com	retirementplans.com
Retirement Plan Consultants	Sevan Krikorian	847.367.0260	rpc401k@comcast.net	n/a
Revzon Consulting Group	Mike Hemmert	877.254.7085	mhemmert@revzonconsulting.com	revzonconsulting.com
Roush Investments	Geoff Roush	559.579.1490	geoff@r2ri.com	roushinvestments.com
SaveDay	Aidan Yeaw	650.684.7283	contact@saveday.com	saveday.com
Schillo Financial Company	Frank Schillo	805.496.6141	frank@schillofinancial.com	schillofinancial.com
Schwab, Charles	n/a	800.694.9449	n/a	schwab.com
Seasons Financial	Tom Frost	858.220.1505	service@401kquestions.com	401kquestions.com
Security Benefit Group	Thomas Granger	800.888.2461 x3132	tom.granger@securitybenefit.com	securityretirement.com
Sense Financial Services	Dmitriy Fomichenko	949.228.9393	dmitriy@sensefinancial.com	sensefinancial.com
Sequoia Financial	Michael Fischer	480.421.0600	info@sequoiaadv.com	sequoiaadv.com
ShareBuilder 401k	n/a	800.943.6108 x1	401kpros@sharebuilder.com	sharebuilder401k.com
Signal Business Group	Gary Lutrick	404.303.9994	gary@sbgweb.com	sbgweb.com
Spark 401k	n/a	800.431.7934	spark401kteam@capitalone.com	spark401k.com

Copyright 2017 by 401khelpcenter.com, LLC - Page 7

401khelpcenter.com does not endorse or recommend any provider, service or product listed here.

THIS DIRECTORY IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY AND DOES NOT CONSTITUTE INVESTMENT, TAX, ACCOUNTING OR LEGAL ADVICE.



Directory of 401(k) Retirement Plan Providers for Sole Proprietorships and Small Businesses

Firm Name	Contact Person	Contact's Phone Number	Contact's Email Address	Firm's Website
Strategies Capital Management	Tom Gonnella	303.926.9600 x104	tgonnella@strategiescm.com	strategiescm.com
Summit Trust Company	John Dye	970.744.4626	trustcounselor@yahoo.com	summittrust.com
T. Rowe Price	n/a	800.638.3804	retireplan@troweprice.com	troweprice.com/smallbusiness
Tax Sheltered Compensation, Inc.	Keith Collis	800.966.4015	keithc@tsc401k.com	tsc401k.com
The Online 401(k)	n/a	866.401.4100, Option 1	singlek@theonline401k.com	theonline401k.com
Touchstone Investments	n/a	800.638.8194	ti-contact@touchstoneinvestments.com	touchstoneplans.com
Uniglobal Pension	Paul Masser	703.334.2420 x37	paul.masser@uniglobalretirement.com	uniglobalretirement.com
Vargo Company, The	John Sample	814.897.1180	jsample@thevargocompany.com	thevargocompany.com
WealthHarbor Capital Group	Brandon Grandbouche	877.444.9544	bgrand@wealthharbor.com	wealthharbor.com
Wedbush Securities, Inc.	Kristin Rathjen	800.352.3754	kristin.rathjen@wedbush.com	wedbush.com
Weiss Group	Adam Weiss	847.296.1900 x234	arw@theweissgroup.com	theweissgroup.com

Copyright 2017 by 401khelpcenter.com, LLC - Page 8

401khelpcenter.com does not endorse or recommend any provider, service or product listed here.

THIS DIRECTORY IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY AND DOES NOT CONSTITUTE INVESTMENT, TAX, ACCOUNTING OR LEGAL ADVICE.



Directory of 401(k) Retirement Plan Providers for Sole Proprietorships and Small Businesses

401kHelpCenter.com is a knowledge service that finds, reviews, selects, organizes and shares the most appropriate, relevant and fresh information for professionals involved with 401k and 403(b) plans. For more information, visit the company's web site at www.401khelpcenter.com.

If your firm offers individual 401k plan services and you wish to be included in this list, contact 401khelpcenter.com at info@401khelpcenter.com.
List is updated annually.